

**Appendix 6 Annual Audit Compliance Report 2016**

**ATTACHMENT 1 – ANNUAL AUDIT COMPLIANCE REPORT**

LICENCE NUMBER L6367/1973/7 FILE NUMBER DEC507-02

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**SECTION B - DETAILS OF NON-COMPLIANCE WITH LICENCE CONDITION.**

Please use a separate page for each licence condition that was not complied with.

a) Licence condition not complied with?	
WET SEASON OPERATING PROTOCOLS	
b) Date(s) when the non compliance occurred, if applicable?	
26 MARCH 2016	
c) Was this non compliance reported to DEC?	
<input type="checkbox"/> Yes <input type="checkbox"/> Reported to DEC verbally    Date _____ <input type="checkbox"/> Reported to DEC in writing    Date _____	<input checked="" type="checkbox"/> No
d) Has DEC taken, or finalised any action in relation to the non compliance?	
N/A	
e) Summary of particulars of non compliance, and what was the environmental impact?	
OCEAN DROVE ORIGINALLY SCHEDULED FOR APRIL. POOR AND TO WET SEASON RESULTED IN SHIP COMING FORWARD	
f) If relevant, the precise location where the non compliance occurred (attach map or diagram)	
CATTLE LANDS	
g) Cause of non compliance	
EARLY SHIP RESULTED IN SHIPMENT OCCURRING IN PERIOD DEFINED AS WET SEASON BY LICENCE.	
h) Action taken or that will be taken to mitigate any adverse effects of the non compliance	
THERE WAS NO RAINFALL FROM 26 MARCH OR IN APRIL SO NO ISSUE WITH OUTFLOW HANDLING.	
i) Action taken or that will be taken to prevent recurrence of the non compliance	
REQUEST SUBMITTED TO AMEND LICENCE CONDITION	

Each page must be initialed by the person(s) who signs Section C of this annual audit compliance report  
 INITIAL: EC

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LICENCE NUMBER L6367/1973/7

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**SECTION C - SIGNATURE AND CERTIFICATION**

This Annual Audit Compliance Report may only be signed by a person(s) with legal authority to sign it. The ways in which the Annual Audit Compliance Report must be signed and certified, and the people who may sign the statement, are set out below.

Please tick the box next to the category that describes how this Annual Audit Compliance Report is being signed. If you are uncertain about who is entitled to sign or which category to tick, please contact the licensing officer for your premises.

If the licence holder is	The Annual Audit Compliance Report must be signed and certified:	
an individual	<input type="checkbox"/>	by the individual licence holder, or
	<input type="checkbox"/>	by a person approved in writing by the Chief Executive Officer of the Department of Environment and Conservation to sign on the licensee's behalf.
A firm or other unincorporated company	<input checked="" type="checkbox"/>	by the principal executive officer of the licensee; or
	<input type="checkbox"/>	by a person with authority to sign on the licensee's behalf who is approved in writing by the Chief Executive Officer of the Department of Environment and Conservation.
A corporation	<input type="checkbox"/>	by affixing the common seal of the licensee in accordance with the Corporations Act 2001; or
	<input type="checkbox"/>	by two directors of the licensee; or
	<input type="checkbox"/>	by a director and a company secretary of the licensee, or
	<input type="checkbox"/>	if the licensee is a proprietary company that has a sole director who is also the sole company secretary – by that director, or
	<input type="checkbox"/>	by the principal executive officer of the licensee; or
	<input type="checkbox"/>	by a person with authority to sign on the licensee's behalf who is approved in writing by the Chief Executive Officer of the Department of Environment and Conservation.
A public authority (other than a local government)	<input type="checkbox"/>	by the principal executive officer of the licensee; or
	<input type="checkbox"/>	by a person with authority to sign on the licensee's behalf who is approved in writing by the Chief Executive Officer of the Department of Environment and Conservation.
a local government	<input type="checkbox"/>	by the chief executive officer of the licensee; or
	<input type="checkbox"/>	by affixing the seal of the local government.

It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular. There is a maximum penalty of \$50,000 for an individual or body corporate.

I/We declare that the information in this annual audit compliance report is correct and not false or misleading in a material particular.

SIGNATURE:  SIGNATURE: \_\_\_\_\_

NAME: (printed) TOM CHAFOL NAME: (printed) \_\_\_\_\_

POSITION: CEO POSITION: \_\_\_\_\_

DATE: 29 / 3 / 17 DATE:  / /

SEAL (if signing under seal)

cc